

10-13-00

FORM PTO-1082

Express Mail EL 684 470 719 US

PATENT

Atty. Docket No. 30488-1016

Date: October 12, 2000

## UTILITY PATENT APPLICATION TRANSMITTAL and FEE TRANSMITTAL

Box PATENT APPLICATION  
 COMMISSIONER FOR PATENTS  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Jeffery D. ARNETT, et al.

For: SHOCK-RESISTANT AND ENVIRONMENTALLY SEALED CONTAINER

Enclosed are:


- ☒ Specification, Claims, and Abstract (21 pages)  
☒ Sheet(s) of drawing (☒ formal, ☐ informal)  
☒ Form PTO-1595 and an assignment of the invention to: Underwater Kinetics. ☐ Will follow.  
☐ A certified copy of \_\_\_\_\_ from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. ☐ Will follow.  
☐ An associate Power of Attorney. ☐ Will follow.  
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27. ☐ Will follow.  
☒ Combined Declaration and Power of Attorney. ☐ Will follow.  
☐ Information Disclosure Statement, Form PTO-1449, and ☐ cited references  
☒ Postcard

FOR:	NO. FILED	In Excess	NO. EXTRA	SMALL ENTITY			LARGE ENTITY	
				RATE	FEE		RATE	FEE
BASIC FEE				\$355.00	\$ 355.00	OR	\$710.00	
TOTAL CLAIMS	28	- 20	= 8	x \$ 9.00	72.00	OR	x\$ 18.00	
INDEP CLAIMS	6	- 3	= 3	x \$ 40.00	120.00	OR	x\$ 80.00	
PRESENTATION OF MULTIPLE DEPENDANT CLAIM				+ \$135.00	0.00	OR	+ 270.00	
				TOTAL	\$ 547.00	OR	TOTAL	\$ 0.00

- ☒ Check Number \_\_\_\_\_ in the amount of \$547.00 to cover the filing fee is enclosed.  
☐ Check Number \_\_\_\_\_ in the amount of \$ 40.00 to cover the Assignment Recordation Fee.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker & McKenzie.  
 A duplicate copy of this sheet is enclosed.  
☒ Any additional filing fees required under 37 CFR 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.

- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 02-0410. A duplicate copy of this sheet is enclosed.  
☐ Any patent application processing fees under 37 CFR 1.17.  
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,

  
 Mitchell P. Brook  
 Registration No. 32,967  
 c/o BAKER & MCKENZIE  
 101 West Broadway, 12th Floor  
 San Diego, California 92101  
 (619) 236-1441

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Art Unit: Unknown  
Jeffery D. ARNETT, et al. )  
Serial No.: TBA ) Examiner: Unknown  
Filed: October 12, 2000 )  
For: SHOCK-RESISTANT AND )  
ENVIRONMENTALLY SEALED )  
CONTAINER )



San Diego, California 92101  
October 12, 2000

BOX PATENT APPLICATION  
Commissioner For Patents  
Washington, D.C. 20231

**CERTIFICATE OF EXPRESS MAILING**

Dear Sir:

“Express Mail” mailing label No. EL 684 470 719 US

Date of Deposit: October 12, 2000

I hereby certify that a Utility Patent Application Transmittal and Fee Transmittal (in duplicate), checks in the amount of \$547.00 and \$40.00, Combined Declaration for Patent Application and Power of Attorney (2 pages), Statement Claiming Small Entity Status, Patent Application including the Specification, Abstract, and claims (21 pages), Formal Drawings (FIGS. 1-14 on 11 pages), and return postcard are being deposited with the U.S. Postal Service “Express Mail Post Office to the Addressee” service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

  
Linda Jonkers-Yocom

002207-10068960

**STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)  
**30488-1016**

Applicant, Patentee, or Identifier: JEFFEREY D. ARNETT et al.

Application or Patent No.: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

Title: SHOCK-RESISTANT & ENVIRONMENTALLY SEALED CONTAINER

I hereby state that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN UNDERWATER KINETICS

ADDRESS OF SMALL BUSINESS CONCERN 13400 DANIELSON STREET, POWAY, CALIFORNIA 92064

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Alan Uke

TITLE OF PERSON IF OTHER THAN OWNER \_\_\_\_\_

ADDRESS OF PERSON SIGNING 355 14th St Del Mar, Ca 92014

SIGNATURE Alan Uke

DATE 10/10/00